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**EMPLOYMENT**

***The George Washington University***

*School of Business*

Dean, 2010-

Professor of Management and International Business, 2010-

*Columbian College of Arts and Sciences*

Professor of Sociology (by courtesy), 2010-

***New York University***

*NYU-Stern School of Business*

Professor of Management, 2005-10

- Faculty Director, Business and Political Economy Major, 2009-10; Faculty Director, Global Executive Education, 2007-09; Academic Director, TRIUM Global EMBA Program, 2007-08; Daniel P. Paduano Faculty Fellow, 2007-09

Associate Professor of Management, 2004-05

*NYU-Faculty of Arts and Sciences*

Professor of Sociology (Joint Appointment; primary appointment at Stern as of 2005), 2005-10

Associate Professor of Sociology, *New York University*, 2000-04

- Director of Graduate Studies, Department of Sociology, 2003-05

Assistant Professor of Sociology, *New York University*, 1997-2000

- Director of Undergraduate Studies, Department of Sociology, 1999-2000

*NYU-Office of the Provost*

- Director of Global Education, 2001-03

***External Appointments***

Economic Advisory Committee, *Council on Competitiveness*, 2011-

Executive Academic Director, *Berlin School of Creative Leadership*, 2008-11

Visiting Professor of Business Administration, *INSEAD*, Fall 2006

Visiting Professor of Business Administration, *Harvard Business School*, 2005 (calendar year)

Visiting Associate Professor of Management, *Goizueta School of Business, Emory University*, Spring 2004

Visiting Associate Professor of Management, *Graduate School of Business, Columbia University*, Spring 2003

Visiting Associate Professor of Management, *Graduate School of Business, Stanford*, Winter 2003

Director, Business Institutions Initiative and Program on the Corporation as a Social Institution,  
*Social Science Research Council*, 1999-2003

## EDUCATION

### ***University of California, Berkeley***

Ph.D. in Sociology, 1997

Doctoral Dissertation: *Strategy and Structure in Chinese Firms: Organizational Action and Institutional Change in Industrial Shanghai*

- Winner of the American Sociological Association's national award for the year's best dissertation in the field of Sociology in 1997

M.A. in Sociology, 1994

### ***University of Chicago***

A.B. in East Asian Languages and Civilizations (concentration in Chinese Literature) (with honors), 1992

- Mandarin Chinese language study, *Taipei, Taiwan*, 1989-90

## GRANTS, FELLOWSHIPS, AWARDS, PROGRAMS

Executive Academic Director, *Berlin School of Creative Leadership, Steinbeis School of Management*, 2008-11

Academic Director, Verint Program on Leadership and Business Ethics in the Global Economy, Custom Program, 2008

Academic Director, Pfizer Program for Legal Professionals, Custom Program, 2008

Academic Director, AIG Financial Executive Development Program, Custom Program, 2008

Academic Director, Seoul National University Global Investment Banking Program, 2008

Academic Director, Leadership for High Potentials, NYU-Stern Open Enrollment Program, 2008, 2009

Daniel P. Paduano Fellow, Paduano Program on Business Ethics, Stern School of Business, NYU, 2007-09

NYU Academic Director, TRIUM (LSE-NYU-HEC) Global Executive MBA Program, 2007-08

Best Paper of the Social Issues in Management Section of the Academy of Management for "Corporate Social Responsibility as a New Conception of Control" (with Justin Miller) 2007

Executive MBA Award for Excellence in Teaching, NYU-Stern School of Business, 2007

Member of the Sociological Research Association, elected 2003

Grant from The Howard Sontag Foundation for exploratory work on the psychology of investment behavior (\$10,000), 2003

Director, Business Institutions Initiative, Social Science Research Council, 1999-2003

Invited Fellow at the Center for Advanced Study in the Behavioral Sciences, 2002 (declined)

Director, Program on the Corporation as a Social Institution, Social Science Research Council, 1999-2003

Grant from the Rockefeller Foundation for a conference on markets as social institutions, held at Bellagio (\$30,000), Italy, 2002

Grant from the Japan Foundation for a conference on corporate embeddedness (\$15,000), 2002

Grant from the Alfred P. Sloan Foundation for the Program on the Corporation as a Social Institution (\$340,843), 2001-03

Grant from the Ford Foundation for the Corporate-Community Relations Study, a multi-city study of the relationships between corporations and urban communities (\$684,000), 2001-03

Grant from the Alfred P. Sloan Foundation for the Program on the Corporation as a Social Institution (\$270,681), 2000-2002

Research Council, Hopkins-Nanjing Institute for International Research at the Hopkins-Nanjing Center, 2000-02

Acting Director, East Asia Program, Social Science Research Council, 1999-2000

Grant from the Russell Sage Foundation for a conference on the future of data infrastructure in the social and behavioral sciences (\$35,000), 1999

Grant from the Alfred P. Sloan Foundation for a workshop on the study of business institutions (\$11,500), 1999

Grant from the New York University Research Challenge Fund (\$6,000), 1999

Member, New York University's Society of Fellows, 1998-2006

William Davidson Visiting Professor, Ross School of Business, University of Michigan, 1999

American Sociological Association's award for best dissertation in the discipline, 1998

Elected to Phi Beta Kappa, 1997

Grant from Touchstone Consulting to conduct national survey of U.S. organizations (\$24,000), 1996

University of California, Berkeley Dean's Dissertation Fellowship, 1996-97

Committee on Scholarly Communication with China Dissertation Fellowship, 1995 (declined)

University of California, Berkeley Vice-Chancellor's Research Award, 1995

Social Science Research Council International Pre-Dissertation Fellowship, 1994-95

University of California, Berkeley Institute of East Asian Studies Graduate Fellowship, 1992-94

University of Chicago President's Award, 1991

National Endowment for the Humanities Younger Scholar's Award, 1990

## **PUBLICATIONS ('f.c.'=forthcoming, 'u.r.'=under review)**

### **Books**

- 2012 Guthrie, Doug. *China and Globalization: The Social, Economic, and Political Transformation of Chinese Society, Third Edition*. New York: Routledge.
- 2010 Clemens, Elisabeth and Doug Guthrie, eds. *Politics and Partnerships: The Transformation of the Nonprofit Sector in the Era of the Declining Welfare State*. Chicago: University of Chicago Press.
- 2009 Guthrie, Doug. *China and Globalization: The Social, Economic, and Political Transformation of Chinese Society, Revised Edition*. New York: Routledge. (Chosen for the *Choice Outstanding Academic Titles List* for 2009.)
- 2006 Guthrie, Doug. *China and Globalization: The Social, Economic, and Political Transformation of Chinese Society* [First Edition]. New York: Routledge.
- 2002 Gold, Thomas, Doug Guthrie, and David Wank, eds. *Social Connections in China: Institutions, Culture, and the Changing Nature of Guanxi*. New York: Cambridge University Press.

1999 Guthrie, Doug. *Dragon in a Three-Piece Suit: The Emergence of Capitalism in China*. Princeton, NJ: Princeton University Press. (Paperback edition, 2001)

### **Journals**

2008 Durand, Rodolphe and Doug Guthrie, guest editors. *European Management Review: Social Issues in Management Research* (Special Issue).

### **Peer-Reviewed Articles, Book Chapters, Review Articles, Popular Articles (recent)**

- u.r. Wang, Junmin, Doug Guthrie, and Zhixing Xiao. "Investing with an Authoritarian State: Foreign Shareholders, Ownership Mix, and Firm Performance in China's Domestic Capital Markets." Under review at *Journal of International Business*.
- u.r. Wang, Junmin, Doug Guthrie, and Zhixing Xiao. "Corporate Governance in Transition: The Rise of SASAC Companies in Reform-Era China." *Journal of Contemporary China* (forthcoming).
- f.c. Marquis, Christopher, Doug Guthrie, and John Almandoz. "Corporate Responsibility, State Activism and the Hidden Incentives behind Bank Mergers, 1990-2000: Tax Credits, the Community Reinvestment Act, and Economic Change." *Social Science Research* (forthcoming).
- f.c. Guthrie, Doug, Zhixing Xiao, and Junmin Wang. "Stability, Asset Management, and Gradual Change in China's Reform Economy." In *China's State Capitalism: Growth and Crisis*, edited by Barry Naughton and Kellee Tsai. New York: Cambridge University Press (forthcoming).
- f.c. Guthrie, Doug, Ilya Okhmatovskiy, Roger Schoenman, and Zhixing Xiao. "Messy Details: Ownership Networks and New Institutional Forms in Transitions from Plan to Market." In *The Small Worlds of Corporate Governance*, edited by Bruce Kogut. Cambridge, MA: MIT Press.
- f.c. Miller, Justin and Doug Guthrie. "Communities, Labor, and the Law: The Rise of Corporate Social Responsibility in the United States." *Research in the Sociology of Organizations* 26.
- 2012 Wang, Junmin, Doug Guthrie, and Zhixing Xiao. "Multiple Principals, Ownership Concentration and Profitability in China's Publicly Traded Companies." *Management and Organization Review*.
- 2011 Galloway, Scott and Doug Guthrie. "L2 Digital IQ Index: China (2011)." New York: The Luxury Lab Think Tank (L2).
- 2011 Guthrie, Doug. "Innovation in the Chinese Mode." *Science* vol. 333 (July 22, 2011).
- 2011 Guthrie, Doug. Review of *One Country, Two Societies: Rural-Urban Inequality in Contemporary China* by Martin King Whyte. *Contemporary Sociology*.
- 2011 Guthrie, Doug and David Slocum. "All Eyes on the CMO." *Orange Magazine*. Imagination Custom Publishing.

- 2010 Guthrie, Doug and David Slocum. "Inefficient Deregulation and the Global Economic Crisis: The United States and China Compared." *Research in the Sociology of Organizations* 30B: 283-312.
- 2010 Clemens, Elisabeth and Doug Guthrie. "Politics and Partnerships: The Role of Voluntary Associations in America's Political Past and Present." In *Politics and Partnerships: The Transformation of the Nonprofit Sector in the Era of the Declining Welfare State*. Chicago: University of Chicago Press.
- 2010 Guthrie, Doug. "Corporate Philanthropy in the United States: Where do Corporations Place their Bets?" In *Politics and Partnerships: The Transformation of the Nonprofit Sector in the Era of the Declining Welfare State*. Chicago: University of Chicago Press.
- 2010 Galloway, Scott and Doug Guthrie. "L2 Digital IQ Index: Public Sector." Washington DC: The Luxury Lab Think Tank (L2).
- 2010 Schleifer, David, Asif Halim, and Doug Guthrie. "The Environmental Impact of Mining Precious Metals and Gemstones: The Case for Putting Jewelry Back into Circulation." Washington DC: The George Washington School of Business.
- 2010 Galloway, Scott and Doug Guthrie. "L2 Digital IQ Index: U.S. Senate." New York: The Luxury Lab Think Tank (L2).
- 2010 Galloway, Scott and Doug Guthrie. "L2 Digital IQ Index: China." New York: The Luxury Lab Think Tank (L2).
- 2010 Marquis, Christopher, Vinay Ganti and Doug Guthrie. "Intel in China: Moving West (A)." Harvard Business School Case. Cambridge, MA: Harvard Business School Publishing.
- 2010 Marquis, Christopher, Vinay Ganti and Doug Guthrie. "Intel in China: Moving West (B)." Harvard Business School Case. Cambridge, MA: Harvard Business School Publishing.
- 2010 Marquis, Christopher, Vinay Ganti Kevin Smith and Doug Guthrie. "Mekong Capital: The Value of Culture in a Private Equity Firm." Harvard Business School Case. Cambridge, MA: Harvard Business School Publishing.
- 2010 Guthrie, Doug. Review of *Remade in China* by Scott Wilson. *The China Quarterly*.
- 2010 Guthrie, Doug and David Slocum. "Fear and Being Wrong: Unexplored Aspects of Leadership." *Orange Magazine*. Imagination Custom Publishing.
- 2009 Guthrie, Doug and David Slocum. "Lead Differently." *Orange Magazine*. Imagination Custom Publishing.
- 2009 Guthrie, Doug. Review of *Globalization and Changes in China's Governance* by Yu Keping. *The China Quarterly*.
- 2009 Guthrie, Doug. Review of *Bullish on Uncertainty: How Organizational Cultures Transform Participants* by Alexandra Michel and Stanton Wortham. *Contemporary Sociology*.

- 2009 Guthrie, Doug. Review of *From Communists to Foreign Capitalists: The Social Foundations of Foreign Direct Investment in Postsocialist Europe*, by Nina Bandelj. *Administrative Science Quarterly*.
- 2009 Guthrie, Doug. Review of *Integrating China: Towards the Coordinated Market Economy*, by Peter Nolan. *The China Quarterly*.
- 2009 Guthrie, Doug. Review of *How China Grows: Investment, Finance, and Reform*, by James Riedel, Jing Jin, and Jian Gao. *The China Quarterly*.
- 2009 Guthrie, Doug. Review of *Factions and Finance in China: Elite Conflict and Inflation*, by Victor C. Shir. *The China Quarterly*.
- 2009 Guthrie, Doug. Review of *China's Emergent Political Economy: Capitalism in the Dragon's Lair*, edited by Christopher A. McNally. *The China Journal*.
- 2009 Guthrie, Doug. Review of *Entrepreneurship in China* by Keming Yang. *Contemporary Sociology*.
- 2009 Guthrie, Doug and Brendon McKeon. "Yanlord Property Development Company." NYU-Stern School of Business Case, Department of Management and Organizations.
- 2009 Guthrie, Doug and Brendon McKeon. "Local Economic Development in Suzhou: The Case of Solutia." NYU-Stern School of Business Case, Department of Management and Organizations.
- 2009 Guthrie, Doug and Brendon McKeon. "Local Economic Development in Lijiang." NYU-Stern School of Business Case, Department of Management and Organizations.
- 2009 Guthrie, Doug. "China and Globalization." *Routledge Social Issues Collection*. New York: Routledge.
- 2009 Guthrie, Doug. "Economic Sociology and Market Transition in China." *Routledge Social Issues Collection*. New York: Routledge.
- 2009 Guthrie, Doug, Zhixing Xiao, and Junmin Wang. "Work and Productivity in Reform-Era China." *Research in the Sociology of Work* 19: 35-73.
- 2008 Guthrie, Doug and Rodolphe Durand. "Social Enterprise and Social Entrepreneurship: Institutional Innovation and Social Change." *European Management Review*: 1-13.
- 2008 Guthrie, Doug and Michael McQuarrie. "Corporate Investment, Social Innovation, and Community Change: The Local Political Economy of Low-Income Housing Development." *City and Community* 7(2): 113-40.
- 2008 Guthrie, Doug and Brendon McKeon. "Jili in the Global Economy." NYU-Stern School of Business Case, Department of Management and Organizations.
- 2008 Guthrie, Doug and Brendon McKeon. "The Lenovo Group, Ltd." NYU-Stern School of Business Case, Department of Management and Organizations.
- 2008 Guthrie, Doug and Brendon McKeon. "PetroChina in the Global Economy." NYU-Stern School of Business Case, Department of Management and Organizations.

- 2008 Guthrie, Doug. "Point/Counterpoint: Comment on 'Competitive Advantage, Human Resource Strategy, and the 2008 Olympics,'" by Jeremy Fox et al. *People and Strategy* 31(1).
- 2008 Cooley, Thomas and Doug Guthrie. "The Values Proposition." *SternBusiness* (April).
- 2008 Guthrie, Doug. "The Great Helmsman's Cultural Death." *Contexts Magazine* 7(2).
- 2008 Guthrie, Doug. Review of *Interfirm Relations in Late Industrialization in China: The Supplier System in the Motorcycle Industry*, by Moriki Ohara. *The China Journal*.
- 2007 Marquis, Chris, Doug Guthrie and Richard Arum. "Joel Klein and Leadership in the New York City Public Schools." Harvard Business School Case.
- 2007 Guthrie, Doug. "Challenges and Opportunities for Multinationals in China." *The International Consortium for Executive Development Research* (November).
- 2007 Guthrie, Doug. Review of *China's Domestic Private Firms: Multidisciplinary Perspectives on Management and Performance*, edited by Anne S. Tsui, Yanjie Bian and Leonard Cheng. *The China Journal*.
- 2007 Guthrie, Doug. Review of *The Chinese Economy: Transitions and Growth* by Barry Naughton. *The China Quarterly*.
- 2007 Guthrie, Doug, Richard Arum, Josipa Roksa, and Sarah Damaske. "Giving to Local Schools: Corporate Philanthropy and the Receding Welfare State." *Social Science Research* 974: 1-18.
- 2007 Miller, Justin and Doug Guthrie. "Corporate Social Responsibility as a New Conception of Control." *Academy of Management Best Paper Proceedings*.
- 2007 Guthrie, Doug and Junmin Wang. "Business Organizations in China." Pp. 99-121 in *Handbook of Asian Business*, edited by Henry Yeung. Edward Elgar Publishing.
- 2006 Guthrie, Doug. "China and Globalization." Pp. 399-423 in *The Political Economy Reader: Markets as Institutions*, edited by Naazneen H. Barma and Steven K. Vogel. New York: Routledge.
- 2006 Guthrie, Doug and Michael McQuarrie. "Houses for the Poor and a New Business for Banks: The Creation of a Market for Affordable Housing." *Business Solutions for the Global Poor: Creating Social and Economic Value*. Edited by Kash Rangan, John Quelch, Gustavo Herrero, and Brooke Barton. San Francisco: Jossey-Bass.
- 2006 Marquis, Chris, Doug Guthrie and Yannis Katsarakis. "Gianna Angelopoulos-Daskalaki and the 2004 Athens Olympic Games (A)." Harvard Business School Case.
- 2006 Marquis, Chris, Doug Guthrie and Yannis Katsarakis. "Gianna Angelopoulos-Daskalaki and the 2004 Athens Olympic Games (B)." Harvard Business School Case.
- 2006 Guthrie, Doug. "The View from the Middle Kingdom: CSR in the People's Republic of China." *Leading Perspectives: A Trends and Solutions Publication from Business for Social Responsibility* (Summer).
- 2006 Guthrie, Doug. Review of *The Growth Gamble: When Leaders Should Bet Big on New Businesses and How They Can Avoid Expensive Failures* by Andrew Campbell and Robert Park. *Manageris: La Synthèse du Management*.

- 2006 Guthrie, Doug. Review of *Contagious Capitalism: Globalization and the Politics of Labor in China* by Mary Gallagher. *The China Quarterly*.
- 2006 Guthrie, Doug. Review of *Northeast Asia's Stunted Regionalism: Bilateral Distrust in the Shadow of Globalization* by Gilbert Rozman. *American Journal of Sociology*.
- 2005 Guthrie, Doug. Review of *Law and Investment in China: The Legal and Business Environments after WTO Accession* by Vai Io Lo and Xiaowen Tian. *The China Journal*.
- 2005 Guthrie, Doug. "The Corporate Corruption of Higher Education: An Interview with Jennifer Washburn, author of *University, Inc.*" *Contexts Magazine*.
- 2005 Guthrie, Doug and Michael McQuarrie. "Privatization and the Social Contract: Corporate Welfare and Low-Income Housing in the United States since 1986." *Research in Political Sociology* 14: 15-51.
- 2005 Guthrie, Doug. "Organizational Learning and Productivity: State Structure and Foreign Investment in the Rise of the Chinese Corporation." *Management and Organization Review* 1(2): 165-95.
- 2005 Guthrie, Doug. "Information Technology and State Capacity in China." Pp. 312-38 in *Digital Formations: Cooperation and Conflict in a Connected World*, edited by Robert Latham and Saskia Sassen. Princeton, NJ: Princeton University Press.
- 2004 Guthrie, Doug. "An Accidental Good: How Savvy Social Entrepreneurs Seized on a Tax Loophole to Raise Billions of Corporate Dollars for Affordable Housing." *Stanford Social Innovation Review* (Fall): 34-44.
- 2004 "Zhongguode Shichang Jingji Gaige: Victor Nee yu Andrew Walder Zhuanjiade Zhengduan." [Chinese version of "Understanding China's Transition to Capitalism: The Contributions of Victor Nee and Andrew Walder."] *Zhongguo Shehui Kexue Yanjiu [Journal of Chinese Social Sciences]*. Shandong: Shandong University Publishing House.
- 2004 Guthrie, Doug. *Codebook for Corporate-Community Relations Study: 2001-2002 Survey of Corporate Involvement*. New York: Social Science Research Council.
- 2004 Guthrie, Doug. *Survey on Corporate-Community Relations*. Report for the Ford Foundation on the results of the *Corporate-Community Relations Study*.
- 2003 Guthrie, Doug. Review of *Back Alley Banking: Private Entrepreneurs in China* by Kellie Tsai. *Journal of Asian Studies*.
- 2003 Guthrie, Doug. "The Quiet Revolution: The Emergence of Capitalism in China," *Harvard International Review* 25(2): 48-53.
- 2002 Guthrie, Doug. "The Transformation of Labor Relations in China's Emerging Market Economy." *Research in Social Stratification and Mobility* 19: 137-68.
- 2002 Guthrie, Doug. "Entrepreneurial Action in the State Sector: The Economic Decisions of Chinese Managers." Pp. 159-90 in *The New Entrepreneurs of Europe and Asia: Patterns of Business Development in Russia, Eastern Europe and China*, edited by Vicki Bonnell and Thomas Gold. Boulder, CO: M.E. Sharpe.
- 2002 Gold, Thomas, Doug Guthrie, and David Wank. "An Introduction to the Study of *Guanxi*." Pp. 3-20 in *Social Connections in China: Institutions, Culture, and the*

- Changing Nature of Guanxi*, edited by Thomas Gold, Doug Guthrie, and David Wank. New York: Cambridge University Press.
- 2002 Guthrie, Doug. "Information Asymmetries and the Problem of Perception: The Significance of Structural Position in Assessing the Importance of *Guanxi* in China." Pp. 37-56 in *Social Connections in China: Institutions, Culture, and the Changing Nature of Guanxi*, edited by Thomas Gold, Doug Guthrie, and David Wank. New York: Cambridge University Press.
- 2002 Guthrie, Doug. Review of *The Making of the Chinese Industrial Workplace: State, Revolution and Labor Management* by Mark Frazier. *The Journal of Asian Studies*.
- 2002 Guthrie, Doug. Review of *Opening Up: Youth Sex Culture and Market Reform in Shanghai* by James Farrer. *Contexts Magazine*.
- 2002 Guthrie, Doug. Review of *Buying and Believing: Sri Lankan Advertising and Consumers in a Transnational World* by Steven Kemper. *American Journal of Sociology*.
- 2002 Guthrie, Doug. Review of *Chinese Business Groups: The Structure and Impact of Interfirm Relations During Economic Development*, by Lisa Keister. *Contemporary Sociology*.
- 2001 Guthrie, Doug. Review of *Property Rights and Economic Reform in China* by Jean Oi and Andrew Walder. *Journal of Asian Business*.
- 2001 Guthrie, Doug. Review of *The Basic Features of Postcommunist Capitalism in Eastern Europe: Firms in Hungary, the Czech Republic, and Slovakia* by Lawrence Peter King. *Administrative Science Quarterly*.
- 2001 Guthrie, Doug. Review of *Cadres and Corruption: The Organizational Involvement of the Chinese Communist Party* by Xiaobo Lu. *American Journal of Sociology* 106(5).
- 2001 Guthrie, Doug. Review essay on *East Meets West: Human Rights and Democracy in East Asia* by Daniel Bell and *The East Asian Challenge for Human Rights*, edited by Joanne Bauer and Daniel Bell. *Journal of Asian Studies* (May).
- 2001 Guthrie, Doug. "The Clanging Harbinger of an Unnoticed Revolution." *China Online*, Commentary, January 16.
- 2001 Guthrie, Doug and Vivek Chibber. "East Asian Studies, Economics." In *International Encyclopedia of the Social and Behavioral Sciences*, edited by Neil Smelser and Paul Baltes. Elsevier Science, Ltd.
- 2001 Guthrie, Doug. "The Emergence of Market Practices in China's Economic Transition: Price Setting in Shanghai's Industrial Firms." In *Managing Organizational Change in Transition Economies*, edited by Daniel Denison. Mahwah, NJ: Lawrence Erlbaum Associates.
- 2000 Guthrie, Doug. "Understanding China's Transition to Capitalism: The Contributions of Victor Nee and Andrew Walder." *Sociological Forum* 15(4): 725-47.
- 2000 Guthrie, Doug. "The Argument for Engagement with China: The Case for PNTR." *The Progressive Response*, May 15.

- 2000 Guthrie, Doug. "The Evidence Is Clear: Foreign Investment Spurs Workplace Reform in China." *The Chronicle of Higher Education*, March 17. Reprinted in: *Faculty Matters*, April 24; *The Asia Insider*, April/May; *VirtualChina.com*, May 8; *Emerging Economies Portfolio*, May 12.
- 2000 Guthrie, Doug. "Detention and Release in Beijing: the Case of Yongyi Song." *The Chronicle of Higher Education*, February 18.
- 1999 Guthrie, Doug. Review of *New Ghosts, Old Ghosts: Prisons and Labor Reform Camps in China* by James D. Seymour and Richard Anderson. *Punishment and Society* 1(2).
- 1999 Guthrie, Doug. "Can Trade Lift Oppression?: Foreign Investment Slowly Bringing About Change." *San Jose Mercury News, Perspective Section*, November 21.
- 1999 Guthrie, Doug. Review of *Growing out of the Plan: Chinese Economic Reform, 1978-1993* by Barry Naughton. *Journal of Economic Literature* 37(December).
- 1999 Guthrie, Doug. "Great Strides Still Needed on Road to SOE Change: Research on Factory Floor Reveals Gradual Move Towards Market-Based Systems." *China Business Review, South China Morning Post*, September 9.
- 1999 Guthrie, Doug. Review of *Making Capitalism in China: The Taiwan Connection* by You-tien Hsing. *American Journal of Sociology* 104(6).
- 1999 Guthrie, Doug. Review of *Producing Guanxi: Sentiment, Self, and Subculture in a North China Village* by Andrew B. Kipnis. *The China Quarterly* 158.
- 1999 Guthrie, Doug. Review of *China's New Business Elite: The Political Consequences of Economic Reform* by Margaret M. Pearson. *The China Quarterly* 158.
- 1999 Guthrie, Doug. "The World Sees Reforms with Two Vastly Differing Faces." *China Business Review, South China Morning Post*, June 10.
- 1999 Guthrie, Doug. Review of *Danwei: The Changing Chinese Workplace in Historical and Comparative Perspective*, by Xiaobo Lu and Elizabeth J. Perry. *Contemporary Sociology* 28(1).
- 1999 Guthrie, Doug and Louise Roth. "The State, Courts, and Equal Opportunities for Female CEOs in U.S. Organizations: Specifying Institutional Mechanisms." *Social Forces* 78(2): 511-42. (Reprinted in: *Workplace/Women's Place: An Anthology*, edited by Paula Dubeck. Roxbury Publishing Company, 2002.)
- 1999 Guthrie, Doug and Louise Roth. "The State, Courts, and Maternity Leave Policies in U.S. Organizations: Specifying Institutional Mechanisms." *American Sociological Review* 64(1): 41-63.
- 1999 Guthrie, Doug. "A Sociological Perspective on the Use of Technology: The Adoption of Internet Technology in U.S. Organizations." *Sociological Perspectives* 42(4): 583-603.
- 1999 Guthrie, Doug. "Zhongguo Jingji Zhuanxing Shiqi Guanxide Zhongyaoxing Zhengzai Xiajiang" [Chinese version of "The Declining Significance of Guanxi"] in *Xifang Zhuanjia dui Zhongguode Zhengduan [A Diagnosis of China's Economy by Western Experts]*. Edited by Minjie Zhang. Beijing: Changchun Press Publishing House.

- 1998 Guthrie, Doug. "Organizational Uncertainty and Labor Contracts in China's Economic Transition." *Sociological Forum* 13(3): 457-94.
- 1998 Guthrie, Doug. "The Declining Significance of *Guanxi* in China's Economic Transition." *The China Quarterly* 154: 31-62.
- 1997 Guthrie, Doug. "Between Markets and Politics: Organizational Responses to Reform in China." *American Journal of Sociology* 102: 1258-1303.
- 1996 Guthrie, Doug. "Organizational Action and Institutional Reforms in China's Economic Transition: A Comparison of Two Industries." *Research in the Sociology of Organizations* 14: 181-222.
- 1995 Guthrie, Doug. "Political Theater and Student Organizations in the 1989 Chinese Movement: A Multivariate Analysis of Tiananmen." *Sociological Forum* 10: 419-54.
- 1995 Guthrie, Doug. "Cultures of Violence and Social Control: An Analysis of Violent Crime in U.S. Counties with Implications for Social Policy." *Berkeley Journal of Sociology* 39: 67-99.

### ***Books in Preparation***

- Guthrie, Doug. *The Economic and Political Transformation of a Communist Giant: Economic Reform, Corporate Governance, and Global Integration*.
- Guthrie, Doug, Chris Marquis, Scott Osman, and Vinay Ganti. *Past, Present, and Future of Corporate Social Responsibility*.

### ***Articles in Preparation***

- Bae, Jonghun, Doug Guthrie and Zhixing Xiao. "Between Market and Hierarchy Reconsidered: Multiple Principals and Investment in China's Capital Markets."
- Guthrie, Doug, Zhixing Xiao and Joonhong Bae. "The Network Structure of China's Capital Markets."
- Guthrie, Doug and Xi Chen. "The Institutional Foundations of Chinese Business: Asset Management and the Rise of SASAC."
- Guthrie, Doug. "Corporations." *Concise Encyclopedia of Comparative Sociology*, edited by Masamichi Sasaki and Jack Goldstone. Brill.

### **LECTURES AND PRESENTATIONS (Recent)**

- Ironshore Executive Advisory Group, Ironshore Corporation ("Driving and Sustaining Innovation"), New York, NY (7/11)
- LEAD Power Hour, The George Washington University ("The Principles of Creative Leadership") Washington DC (7/11)
- Southeastern Universities Research Association ("The China Secret: Technology Transfer and Innovation in the World's Most Dynamic Economy"), Washington DC (5/11)
- The American Fraternal Alliance, President's Section Meeting ("Public-Private Partnerships in Era of the Declining Welfare State"), Washington DC (5/11)

The George Washington University Alumni Association (“Business Challenge: Focus on Ethics and Social Responsibility”), Washington DC (4/11)

The Falling Walls Conference: Future Breakthroughs in Science and Society (“The China Secret: State-Sponsored Capitalism and the Wall of Efficient Innovation”), Berlin, Germany (11/10)

The Luxury Lab (L2) Innovation Forum (“Innovation, Chinese Style”), New York, NY (11/10)

Association of Norwegian Advertisers, The Golden Tag Awards (“The Organizational Foundations of Creative Leadership”), Oslo, Norway (4/10)

McDonough School of Business, Georgetown University (“The Political, Economic, and Social Transformation of Chinese Society”), Georgetown (2/10)

Cannes Golden Lions Awards (“An Invitation to the Field of Leadership”), Cannes, France (6/09)

Bocconi University (“Asset Management in China: The Rise of SASAC”), Milan, Italy (10/08)

Quest Corporation’s Educational Series (“The Economic, Political, and Social Transformation of Chinese Society”), New York, NY (9/08)

Haas School of Business, University of California, Berkeley (“The Rise of SASAC”), Berkeley, CA (9/08)

United Nations, Speech to Investment Group (“China’s Current Investment Climate”), United Nations, New York (8/08)

Daiwa Securities Investment Conference, Keynote (“China’s Current Investment Climate”), Daiwa Securities, New York (7/08)

Center for China-US Cooperation (“How Robust are China’s Institutions?”), University of Denver (5/08)

NYU Alumni Association, Speakers on the Square (“The Politics of Economic Reform in China and the Implications for US-China Policy”), NYU (4/08)

McDonough School of Business, Georgetown University (“The Political, Economic, and Social Transformation of Chinese Society”), Georgetown (2/08)

International Consortium for Executive Development Research, Keynote (“Strategy, Leadership, and Transformation: Multinational Corporations in the Global Economy”), New York (11/07)

Watson Institute Series on “The Next Generation of CSR: Innovating Private Sector Provision of Public Goods” (“The House that Reagan Built: Corporate-Community Relations in the Age of Deregulation”), Brown University, Providence, RI (11/07)

Skoll/China International Forum on Social Entrepreneurship (“Social Innovation in China”), Hangzhou, China (5/07)

Renmin School of Business (“The Political Economy of Publicly Traded Firms in China”), Ithaca, NY (5/07)

8<sup>th</sup> Annual Chinese MBA Forum, (“MBA Education in China: Understanding Chinese Economic Change”), Beijing, China (5/07)

Cornell University Center for Economy and Society (“The Political Economy of Publicly Traded Firms in China”), Ithaca, NY (4/07)

International Association of Chinese Management Research—Keynote (“The Political Economy of Publicly Traded Firms in China”), Nanjing, China (6/06)

INSEAD (“The Political Economy of Publicly Traded Firms in China”), Singapore (5/06)

University of Cambridge, Department of Social and Political Sciences (“The Political Economy of Publicly Traded Firms in China”), Cambridge, England (4/06)

Tufts University, Fletcher School of International Affairs (“Finance and Trade in China: The Political Economy of Publicly Traded Firms in China”), Cambridge, MA (1/06)  
Harvard Business School, Business and Government in the International Economy (“The Political Economy of Publicly Traded Firms in China”), Cambridge, MA (1/06)

#### **PROFESSIONAL MEMBERSHIPS AND DUTIES**

Phi Beta Kappa (honor society for academia)

Sociological Research Association (honor society for sociology)

Beta Gamma Sigma (honor society for business)

Academy of Management

International Association of Chinese Management Research

American Sociological Association

Consortium for the Study of the Asian Economies

Senior Editor, *Management and Organization Review* (2006-)

Editorial Board, *Journal of Contemporary China* (2008-), *Administrative Science Quarterly* (2006-), *American Journal of Sociology* (2004-06), *Management and Organization Review* (2004-)

Peer reviewer: *Management and Organization Review*, *Journal of Contemporary China*, *Journal of Asian Business*, *Administrative Science Quarterly*, *American Journal of Sociology*, *American Sociological Review*, *Contexts*, *Theory and Society*, *Sociological Theory*, *Social Forces*, *Sociological Forum*, *Social Science Research*, *Organization Science*, *Comparative Studies in Society and History*, *Journal of Management Studies*, *Westview Press*, *Curazon Press*, *Cornell University Press*, *Princeton University Press*, *University of Chicago Press*, *University of California Press*, *National Science Foundation*

#### **TEACHING EXPERIENCE**

***New York University, Stern School of Business and Faculty of Arts and Sciences***

Multinational Corporations: China, Stern elective on multinational corporations with a focus on China (2008, 2009, 2010)

Leadership in Organizations (previously Managing Organizations), Core management course, Department of Management and Organizations (2009, 2010); Course head, 2006-10

Managing Organizations, Core management course, Department of Management and Organizations (2004, 2005, 2006, 2007, 2008); Course head, 2006-10

Managing Organizations, Executive education course, Stern Executive Education Program (2005, 2006, 2007)

Leadership and Corporate Governance, Executive education course, Stern Executive Education Program (2007, 2010)

Business and its Publics, Required Corporate Social Responsibility course for all Stern BBA students (2006, 2008, 2009, 2010)

Introduction to Methods of Social Science Research, Graduate course, Department of Sociology (1998, 1999, 2000, 2001, 2004)

World Cultures: The Chinese Economy, Undergraduate course, College of Arts and Sciences (MAP) (1998, 1999, 2000, 2001, 2002, 2003, 2004, Spring 2006, Fall 2006)

Introduction to Statistics, Graduate course, Department of Sociology (1998, 1999, 2000, 2001)

Organizational Theory, Graduate course, Department of Sociology (1997)  
Economic Sociology, Graduate course, Department of Sociology (1998)  
Societies and the Social Sciences: Economy and Society, Undergraduate course, College of Arts  
and Sciences (MAP) (1998, 1999)

***Harvard Business School***

Leadership and Organizational Behavior (LEAD), Core organizational behavior course,  
Organizational Behavior Group (2005)

***Emory University, Goizueta Business School***

Strategic Management, Core strategy course, Management Department (2004)

***Columbia University, Graduate School of Business***

Creating Effective Organizations, Core organizational theory course, Management Department  
(2003, 2004, 2006)

Leadership, EMBA course, Management Department (2006)

***Stanford University, Graduate School of Business***

Corporate Social Responsibility, MBA elective course, Center for Social Innovation (2003,  
2004)

**LANGUAGES**

Fluent Chinese (Mandarin)

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